



MEMORANDUM

TO: Members of the Legislative Fiscal Committee

FROM: Dave Reynolds, Fiscal Services Division

DATE: December 13, 2019

RE: Report on Training and Technology Carryforward Funds Expended in FY 2019

Pursuant to Iowa Code section [8.62\(3\)](#), departments are permitted to carry forward 50.0% of the previous fiscal year's reversions from operating appropriations. The funds must be used for training and technology or purchases of products from Iowa Prison Industries (IPI), and must be spent by June 30.

Departments carried forward a total of \$2.1 million in General Fund appropriations from FY 2018 to FY 2019 to be used for purchases designated under Iowa Code section 8.62(3). Of the amount carried forward, departments spent \$2.0 million, and the unspent balance of \$42,500 reverted to the General Fund at the close of FY 2019.

| General Fund Training and Technology Funds Balances Brought Forward | | | |
|--|----------------------------|---------------------------|------------------|
| Subcommittee | Funds Available FY 2019 | Funds Expended FY 2019 | Reversion |
| Administration and Regulation | \$ 1,259,838 | \$ 1,252,777 | \$ 7,061 |
| Agriculture and Natural Resources | 11,125 | 11,125 | - |
| Economic Development | 43,341 | 20,800 | 22,541 |
| Education | 9,598 | 9,598 | - |
| Health and Human Services | 320,316 | 320,316 | - |
| Justice System | 413,264 | 400,327 | 12,937 |
| Total | <u>\$ 2,057,483</u> | <u>\$ 2,014,944</u> | <u>\$ 42,539</u> |

The attachment summarizes the reports submitted by State departments regarding the expenditure of the funds brought forward into FY 2019. Unspent balances are indicated by the department's name. Individual department reports are available on the LSA website, under [Reports Required to be Filed with the General Assembly](#). Each department's report is entitled "Reversions Report — Training and Technology Expenditures Report."

The Department of Transportation, under Iowa Code section [307.46\(2\)](#), brought forward \$500,000 to FY 2019 from the Road Use Tax Fund/Primary Road Fund appropriations made in FY 2018. The DOT spent \$500,000 for desktop consumables, information technology professional services, training, and computer equipment.

Report on Training and Technology Carryforward Funds
Balances Brought Forward from FY 2018 to FY 2019

| Department/Appropriation | Funds Available | Expended | Reversion | Use of Funds Summary |
|--|-------------------------------|-------------------------------|------------------------|--|
| ADMINISTRATION AND REGULATION | | | | |
| Department of Administration (DAS) | | | | |
| DAS Operations | \$ 4,393.53 | \$ 4,393.53 | 0.00 | Purchase of desks, cell phone expenses, and reimbursement of information technology (IT) services. |
| Total | <u>\$ 4,393.53</u> | <u>\$ 4,393.53</u> | <u>0.00</u> | |
| Ethics & Campaign Disclosure | | | | |
| General Office | \$ 13,828.95 | \$ 13,828.95 | 0.00 | Upgrades to the electronic filing system. |
| Total | <u>\$ 13,828.95</u> | <u>\$ 13,828.95</u> | <u>0.00</u> | |
| Department of Commerce | | | | |
| Professional Licensing Bureau | \$ 2,414.75 | \$ 2,414.75 | 0.00 | Purchase of docking stations. |
| Total | <u>\$ 2,414.75</u> | <u>\$ 2,414.75</u> | <u>0.00</u> | |
| Governor's Office | | | | |
| Governor/Lt. Governor's Office | \$ 29,125.07 | \$ 29,125.07 | 0.00 | Purchase of IT services from Leidos and Office of the Chief Information Officer (OCIO) IT Services for Google email and productivity services. |
| Total | <u>\$ 29,125.07</u> | <u>\$ 29,125.07</u> | <u>0.00</u> | |
| Department of Human Rights | | | | |
| Human Rights Administration | \$ 14,871.02 | \$ 14,871.02 | 0.00 | Computer hardware and software, staff training, and DAS IT Enterprise expenses. |
| Community Advocacy and Services | 54,933.03 | 54,933.03 | 0.00 | Purchases from Iowa Prison Industries (IPI), technology training, and hardware. |
| Total | <u>\$ 69,804.05</u> | <u>\$ 69,804.05</u> | <u>0.00</u> | |
| Department of Inspections and Appeals | | | | |
| Child Advocacy Board | \$ 37,763.81 | \$ 37,763.81 | 0.00 | General technology upgrades. |
| Employment Appeal Board | 567.14 | 567.14 | 0.00 | Replacement of IT equipment. |
| Administration Division | 1,068.52 | 1,068.52 | 0.00 | The funds available for these four divisions were used to purchase an online case management system, filing system, technology upgrades, and office furnishings. |
| Administrative Hearings Division | 43,502.82 | 43,502.82 | 0.00 | |
| Investigations Division | 129,314.35 | 129,314.35 | 0.00 | |
| Health Facilities Division | 44,247.98 | 44,247.98 | 0.00 | |
| Total | <u>\$ 256,464.62</u> | <u>\$ 256,464.62</u> | <u>0.00</u> | |
| Public Information Office | | | | |
| Operations | \$ 18,171.52 | \$ 14,209.00 | 3,962.52 | Purchase of a copier/scanner and office furnishings from IPI. |
| Total | <u>\$ 18,171.52</u> | <u>\$ 14,209.00</u> | <u>3,962.52</u> | |
| Department of Revenue | | | | |
| Operations | \$ 862,155.55 | \$ 862,155.55 | 0.00 | Purchase of hardware and software and payment of IT projects through the OCIO. |
| Total | <u>\$ 862,155.55</u> | <u>\$ 862,155.55</u> | <u>0.00</u> | |
| Secretary of State | | | | |
| Operations | \$ 381.28 | \$ 381.28 | 0.00 | Technology training expenses. |
| Total | <u>\$ 381.28</u> | <u>\$ 381.28</u> | <u>0.00</u> | |
| Treasurer of State | | | | |
| General Office | \$ 3,098.97 | \$ - | 3,098.97 | Funds reverted to the General Fund. |
| Total | <u>\$ 3,098.97</u> | <u>\$ -</u> | <u>3,098.97</u> | |
| TOTAL ADMINISTRATION AND REGULATION | <u><u>\$ 1,259,838.29</u></u> | <u><u>\$ 1,252,776.80</u></u> | <u><u>7,061.49</u></u> | |

Report on Training and Technology Carryforward Funds

Balances Brought Forward from FY 2018 to FY 2019

| Department/Appropriation | Funds Available | Expended | Reversion | Use of Funds Summary |
|---|----------------------|----------------------|------------------|---|
| <u>AGRICULTURE AND NATURAL RESOURCES</u> | | | | |
| Department of Agriculture and Land Stewardship | | | | |
| Administration Division | \$ 11,125.29 | \$ 11,125.29 | 0.00 | Purchase of laptop computers and server. |
| Total | \$ 11,125.29 | \$ 11,125.29 | 0.00 | |
| TOTAL AGRICULTURE AND NATURAL RESOURCES | \$ 11,125.29 | \$ 11,125.29 | 0.00 | |
| <u>ECONOMIC DEVELOPMENT</u> | | | | |
| Public Employment Relations Board | | | | |
| General Office | \$ 43,341.37 | \$ 20,800.28 | 22,541.09 | Purchase of computer hardware and software and office furniture through IPI. |
| Total | \$ 43,341.37 | \$ 20,800.28 | 22,541.09 | |
| TOTAL ECONOMIC DEVELOPMENT | \$ 43,341.37 | \$ 20,800.28 | 22,541.09 | |
| <u>EDUCATION</u> | | | | |
| Department of the Blind | | | | |
| Operations | \$ 9,597.76 | \$ 9,597.76 | 0.00 | Purchase of laptop computers and docking stations. |
| Total | \$ 9,597.76 | \$ 9,597.76 | 0.00 | |
| TOTAL EDUCATION | \$ 9,597.76 | \$ 9,597.76 | 0.00 | |
| <u>HEALTH & HUMAN SERVICES</u> | | | | |
| Department of Human Services | | | | |
| General Administration | \$ 320,316.07 | \$ 320,316.07 | 0.00 | Purchase of computer hardware and software and expenses for IT maintenance and consumables. |
| Total | \$ 320,316.07 | \$ 320,316.07 | 0.00 | |
| TOTAL HUMAN SERVICES | \$ 320,316.07 | \$ 320,316.07 | 0.00 | |
| <u>JUSTICE SYSTEM</u> | | | | |
| Department of Corrections | | | | |
| Central Office | \$ 11,807.21 | \$ 11,807.21 | 0.00 | Purchase of computers and monitors, and IPI reimbursements. |
| Anamosa | 11,307.95 | 11,307.95 | 0.00 | IPI reimbursements. |
| Oakdale | 4,126.24 | 4,126.24 | 0.00 | Badgepass visitor software. |
| Newton | 114.41 | 114.41 | 0.00 | Health services upgrade – wireless access point. |
| Mt. Pleasant | 4,028.32 | 4,028.32 | 0.00 | IPI reimbursements. |
| Rockwell City | 1,661.36 | 1,661.36 | 0.00 | Scan technologies – personal digital assistants (PDAs). |
| Clarinda | 43,540.93 | 43,540.93 | 0.00 | Purchase of computer equipment. |
| Ft. Dodge | 1,573.11 | 1,573.11 | 0.00 | Purchase of computer equipment. |
| Total | \$ 78,159.53 | \$ 78,159.53 | 0.00 | |

Report on Training and Technology Carryforward Funds
Balances Brought Forward from FY 2018 to FY 2019

| Department/Appropriation | Funds Available | Expended | Reversion | Use of Funds Summary |
|--|-------------------------------|-------------------------------|-------------------------|---|
| Department of Inspections and Appeals | | | | |
| Office of the State Public Defender | \$ 229,430.36 | \$ 229,430.36 | 0.00 | Purchase of IT enhancements and purchases from IPI. |
| Total | <u>\$ 229,430.36</u> | <u>\$ 229,430.36</u> | <u>0.00</u> | |
| Parole Board | | | | |
| Parole Board | \$ 42,869.38 | \$ 42,869.38 | 0.00 | Purchase of IT enhancements. |
| Total | <u>\$ 42,869.38</u> | <u>\$ 42,869.38</u> | <u>0.00</u> | |
| Department of Public Defense | | | | |
| Military Division | \$ 900.00 | \$ 900.00 | 0.00 | Purchase of supplies from IPI. |
| Total | <u>\$ 900.00</u> | <u>\$ 900.00</u> | <u>0.00</u> | |
| Department of Public Safety | | | | |
| Administration | \$ 14,166.42 | \$ 14,166.42 | 0.00 | Purchase of computer hardware, software, and peripherals. |
| Investigations - DCI | 4,270.57 | 4,270.57 | 0.00 | |
| Narcotics Enforcement | 748.91 | 748.91 | 0.00 | |
| Fire Marshal | 11,986.31 | 11,986.31 | 0.00 | |
| Highway Patrol | 17,795.90 | 17,795.90 | 0.00 | |
| Total | <u>\$ 48,968.11</u> | <u>\$ 48,968.11</u> | <u>0.00</u> | |
| Judicial Branch | | | | |
| General | \$ 12,936.61 | \$ - | 12,936.61 | Funds reverted to the General Fund. |
| Total | <u>\$ 12,936.61</u> | <u>\$ -</u> | <u>12,936.61</u> | |
| TOTAL JUSTICE SYSTEM | <u>\$ 413,263.99</u> | <u>\$ 400,327.38</u> | <u>12,936.61</u> | |
| GRAND TOTAL | <u><u>\$ 2,057,482.77</u></u> | <u><u>\$ 2,014,943.58</u></u> | <u><u>42,539.19</u></u> | |